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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/072,309
Filing Date	02/05/2002
First Named Inventor	LU
Group Art Unit	2832
Examiner Name	
Attorney Docket Number	HALO-018

Total Number of Pages in This Submission 14

ENCLOSURES (check all that apply)

- | | | |
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| <input checked="" type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
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<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Stephen E. Baldwin
Signature	
Date	06/25/02

CERTIFICATE OF MAILING

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,309	02/05/2002	Peter Lu	HALO-018

Stephen E. Baldwin
Law Office of Stephen E. Baldwin
751 Laurel Street, PMB 621
San Carlos, CA 94070



CONFIRMATION NO. 1043

FORMALITIES LETTER



OC000000007536921

Date Mailed: 02/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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01 FC:201 370.00 DP
02 FC:205 65.00 DP

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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